

# REQUEST FOR BROKER TO BROKER TRANSFER FOR MARGIN LENDING

**Commonwealth Securities Limited**  
 ABN 60 067 254 399 AFSL 238814 (CommSec)  
 A Participant of the ASX Group

**CommSec Margin Loans**  
 Locked Bag 34 Australia Square NSW 1214  
 Phone: **13 17 09** Fax: **1300 369 526**  
[commsec.com.au](http://commsec.com.au)

**IMPORTANT INFORMATION**

**READ BEFORE PROCEEDING**

This form allows you to transfer CHESS sponsored ASX securities that you already own to your CommSec Margin Loan. To lodge a Broker to Broker Transfer, CHESS sponsored holdings request you will need to attached current copies of your CHESS sponsored holdings statement(s) including all of the holdings you list below OR provide a statement from your Broker showing all holdings to be transferred. The name and address on the shareholder statements must exactly match the details of your CommSec Margin Loan.

For joint shareholdings both holders must sign. For Company / Trust shareholdings Directors / Trustees must sign.  
 Due to the update / change in your registration details, you may need to update the registry with your standing instructions for DRP or other dividend payment.

Please check your existing shareholder statement(s) to confirm if your securities are Issuer or CHESS sponsored.

**We accept this form returned to us by post, fax or email.** All pages must be present for the forms to be processed. The email address is for administrative use only. All other enquiries should be directed through the appropriate channels.

Post: CommSec Margin Loans, Locked Bag 34, Australia Square NSW 1214

Fax: 1300 369 526

Email: [mlconversions@cba.com.au](mailto:mlconversions@cba.com.au)

**SHAREHOLDER DETAILS**

**A**

REGISTERED NAME OF SHAREHOLDER(S) AS IT APPEARS ON HOLDING STATEMENT

ACCOUNT DESIGNATION OR TRUST (if applicable) eg: < X & Y Family A/C >

REGISTERED ADDRESS OF SHAREHOLDER(S) AS IT APPEARS ON HOLDING STATEMENT

STATE 
POSTCODE

**TRANSFER DETAILS**

**B**

**EXISTING SPONSORING BROKER:**

SPONSOR'S PID

ACCOUNT NUMBER WITH SPONSOR

HIN

**NEW SPONSORING BROKER:**

PID

ACCOUNT NUMBER

HIN (to be completed by the Bank)

**OPTION: (PLEASE TICK)**  
 Please transfer ALL of my/our CHESS shareholdings/Managed Funds and Holder Identification Number (HIN)

**OR**  
 Please transfer the CHESS shareholdings listed

NB: Existing DRP, Tax file number, banking details for dividends, etc., may need to be relogged with with share registry after this transfer

ASX CODE	SECURITY NAME (this must be completed accurately - and exactly correspond to the ASX code)	NO. UNITS

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## SIGNATURES

**CLIENT 1** CLIENT ID

CLIENT 1 / BORROWER 1 / DIRECTOR / TRUSTEE NAME

CLIENT 1 / BORROWER 1 / DIRECTOR / TRUSTEE SIGNATURE

x

DATE

**CLIENT 2** CLIENT ID (if applicable)

CLIENT 2 / BORROWER 2 / DIRECTOR / TRUSTEE / 3RD PARTY MORTGAGOR NAME

CLIENT 2 / BORROWER 2 / DIRECTOR / TRUSTEE / 3RD PARTY MORTGAGOR SIGNATURE

x

**CLIENT 3** CLIENT ID (if applicable)

CLIENT 3 / BORROWER 3 / DIRECTOR / TRUSTEE / 3RD PARTY MORTGAGOR NAME

CLIENT 3 / BORROWER 3 / DIRECTOR / TRUSTEE / 3RD PARTY MORTGAGOR SIGNATURE

x